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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/505,556	
	Filing Date	Feb 17, 2000	
	First Named Inventor	Moorer, James A.	
	Art Unit	2644	
	Examiner Name	Mei, Xu	
Total Number of Pages in This Submission	17	Attorney Docket Number	81312 8017

ENCLOSURES (Check all that apply)										
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance communication to (TC) <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Please see remarks below.								
<table border="1"><tr><td>Remarks</td><td>Certificate NOV 06 2006 of Correction</td></tr><tr><td colspan="2">Request for Certificate of Correction (15 pages)</td></tr><tr><td colspan="2">Certificate of Correction PTO/SB/44 (1 page)</td></tr><tr><td colspan="2">Return Receipt Postcard</td></tr></table>			Remarks	Certificate NOV 06 2006 of Correction	Request for Certificate of Correction (15 pages)		Certificate of Correction PTO/SB/44 (1 page)		Return Receipt Postcard	
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	FITCH, EVEN, TABIN & FLANNERY		
Signature			
Printed name	Thomas F. Lebens		
Date	10/31/06	Reg. No.	38221

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.			
Signature			
Typed or printed name	Thomas F. Lebens	Date	10/31/06

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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NOV - 6 2006

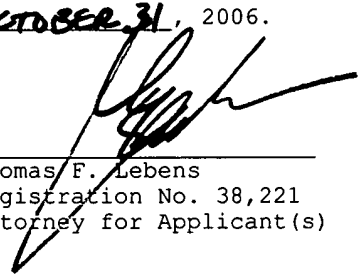


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/505,556
 Applicant(s) : Moorner, James A.
 Filed : 2/17/2000
 TC/A.U. : 2644
 Examiner : Mei, Xu

Docket No. : 81312
 Customer No. : 22242
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) October 31, 2006.



 Thomas F. Lebens
 Registration No. 38,221
 Attorney for Applicant(s)

**REQUEST FOR CERTIFICATE OF CORRECTION
 PURSUANT TO 37 C.F.R. § 1.322**

Certificate of Correction Branch
 Commissioner for Patents
 Post Office Box 1450
 Alexandria, VA 22313-1450

Dear Sir:

Transmitted herewith is a Certificate of Correction for United States Patent 7,043,312 issued May 9, 2006. Upon reviewing the patent, the following errors were noted and should be corrected as follows:

In the Specification:

First page, column 1, lines 1-3, after "AUGMENTATION" delete "FOR HIGHER RESOLUTION AND MULTICHANNEL SOUND".

In the CLAIMS:

Claim 4, column 23, line 18, after "digital" insert
--signal--.

Claim 10, column 23, line 53, delete "media;" and insert
--media,--.

Claim 16, column 24, line 22, delete "no" and insert
--not--.

Claim 23, column 24, line 52, delete "claim" and insert
--claims--.

Claim 26, column 25, line 2, after "second" insert --media,
wherein the first and second media have distinct logical
structures--.

Claim 30, column 25, line 11, delete "claim" and insert
--claims--.

Claim 31, column 25, line 13, delete "claim" and insert
--claims--.

Claim 33, column 25, line 21, delete "claim" and insert
--claims--.

Claim 34, column 25, line 23, delete "claim" and insert
--claims--.

Claim 35, column 25, line 37, delete "recombination" and
insert --recombining--.

Claim 38, column 26, line 14, delete "ia" and insert --is--.

NOV 10 2006

Claim 39, column 26, line 16, delete "claim" and insert
--claims--.

Claim 42, column 26, line 23, delete "claim" and insert
--claims--.

Claim 44, column 26, line 29, delete "claim" and insert
--claims--.

Claim 45, column 26, line 34, delete "claim" and insert
--claims--.

Claim 46, column 26, line 36, delete "claim" and insert
--claims--.

The Certificate of Correction sets forth these corrections.

Remarks

A review of these documents confirms that the errors were made in the printing of the patent. For the correction to the specification, please see Exhibit "A", page 1 of the specification as filed on February 17, 2000, as retrieved from the Patent Application Information Retrieval's ("PAIR'S") Image File Wrapper. For the corrections to the claims, please see Exhibit "B" pages 3-10 of the claims from the Amendment filed on October 14, 2004, as retrieved from PAIR'S Image File Wrapper. Specifically, please see the following:

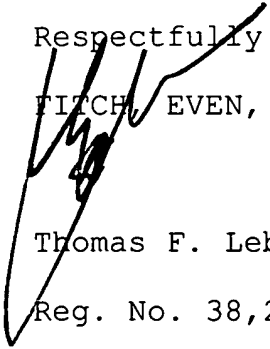
For claim 4, see page 3 of 15, claim 7, line 4;

For claim 10, see page 4 of 15, claim 71, line 12;

For claim 16, see page 6 of 15, claim 80, line 2;
For claim 23, see page 7 of 15, claim 108, line 1;
For claim 26, see page 7 of 15, claim 107, line 11;
For claim 30, see page 3 of 15, claim 13, line 1;
For claim 31, see page 3 of 15, claim 14, line 1;
For claim 33, see page 8 of 15, claim 112, line 1;
For claim 34, see page 8 of 15, claim 114, line 1;
For claim 35, see page 9 of 15, claim 117, line 5;
For claim 38, see page 4 of 15, claim 73, line 3;
For claim 39, see page 4 of 15, claim 74, line 1;
For claim 42, see page 5 of 15, claim 77, line 1;
For claim 44, see page 5 of 15, claim 79, line 1;
For claim 45, see page 9 of 15, claim 121, line 1; and
For claim 46, see page 10 of 15, claim 123, line 1.

Since these errors for which a Certificate of Correction is requested are a result of the United States Patent and Trademark Office mistake, no fee is due (35 U.S.C. § 254). Please charge any deficiency or overpayment in fees to Deposit Account 06-1135.

Respectfully submitted,

 FITCH, EVEN, TABIN & FLANNERY

Thomas F. Lebens

Reg. No. 38,221

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09/505,556

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Address all correspondence to:

FITCH, EVEN, TABIN & FLANNERY

Suite 1600 - 120 South LaSalle Street

Chicago, IL 60603-3406

Direct telephone inquiries to:

Thomas F. Lebens

805-781-2865

NOV. - 6 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
APPLICATION FOR PATENT

CD PLAYBACK AUGMENTATION

Inventor: James A. Moorer

Background of the Invention

5 This invention relates to the storage of audio information on compact disks, and more specifically, to augmenting the standard, stereo compact disk with additional audio information, such as for higher resolution or multi-channel sound.

10 The compact disk (CD) has become the primary source for the delivery of recorded music due to its advantages over other media previously available to the consumer. It is of relatively small size and requires little special handling. As it is digitally recorded, it is subject neither to surface noise nor wear during playback.

15 The CD also has a number of disadvantages and limitations. Some of these inherent in nature of digital audio: Whenever music or other audio data is digitized, a certain amount of information is necessarily lost. Although this can be minimized by increasing the sampling rate, the number bits per sample, or both, there will still be some unavoidable loss. Although when a master recording is made digitally it usually employs this sort of higher resolution, when the actual CD itself is produced it must conform to the lower standards found in the accepted consumer format. For this reason, many audiophiles prefer to use analog vinyl recordings despite their surface noise when played, their resultant wear, and their more delicate handling and equipment requirements.

20 Another limitation imposed by the accepted standard for the CD is that of two channel, stereo sound. Within motion picture soundtracks and video games, multi-channel surround sound has become common, whether through having more than two speakers (such as for 5.1 channel or other cinema techniques), or

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09505555-021700

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Amendment
Response to Office Action of July 14, 2004

Amendments to the Claims:

This listing of claims will replace all prior versions, and listings, of claims in this application.

Listing of claims:

4. (Currently Amended) A method of storing audio data on a compact disk (CD)~~on a CD~~, comprising:

- storing ~~in the~~ in an audio portion of said CD a first two track audio signal, wherein said first two track audio signal is reproducible by playing said CD on a conventional audio CD player;
- storing additional audio data on said CD outside of said audio portion according to a distinct logical structure requiring a differing read process than the audio portion of the CD; and
- storing control information on said CD, wherein said first two track audio signal and said additional audio data ~~can be~~ are adapted to be combined through use of said control information to reproduce a unified audio signal.

5. (Original) The method of claim 4, wherein said unified audio signal comprises a second two track audio signal of higher resolution than said first two track audio signal.

6. (Original) The method of claim 4, wherein said unified audio signal comprises more than two channels.

7. (Currently Amended) A method for storing an audio signal of two or more channels, comprising:

- deriving data from the audio signal, said data comprising: ~~data, comprising:~~
 - a plurality of digital signals, wherein a first digital signal of said plurality of digital signals is a first two track audio signal; and

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control information, ~~wherein a reproduction of~~ adapted for use in reproducing
said audio signal information can be produced from said plurality of
digital signals ~~by use of said control information;~~
storing said first digital signal on a first medium;
storing the remainder of said plurality of digital signals on one or more second media,
wherein said first and second media are distinct physical media; and
storing the control information.

8. (Original) The method of claim 7, wherein said first medium is a rewritable memory.

9. (Original) The method of claim 8, further comprising:
compressing said first digital signal prior to storing on said first medium.

10. (Currently Amended) The method of claim 7, wherein said first medium ~~is the~~ is an
audio portion of a compact disk (CD), and wherein said first digital signal ~~can be reproduced~~
is adapted for reproduction on a conventional CD player.

11. (Currently Amended) The method of claim 115, wherein said one or more second media ~~is the~~ is a CD-ROM portion ~~of said~~ of a CD.

12. (Original) The method of claim 11, wherein said control information is stored in the CD-ROM portion of said CD.

13. (Currently Amended) The method of either of claims 7 or 107, wherein said audio signal ~~audio~~ comprises more than two channels.

14. (Original) The method of either of claims 7 or 107, wherein said reproduction of said audio signal comprises a second two track audio signal of higher resolution than a reproduction based on said first two track audio signal alone.

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Amendment
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(Claims 15-70 have been cancelled.)

71. (Currently Amended) A method for storing an N-channel audio signal, wherein N is an integer greater than two, comprising:

deriving from said N-channel audio signal a two channel representation;

recording said two channel representation on a first medium;

forming additional information, comprising:

a residual dependent ~~upon the~~ upon a difference between said N-channel audio signal and said two channel representation; and

control information, including data ~~that can be used to recombine~~ adapted for use in recombining said residual with said two channel representation to reconstruct an M-channel representation of said N-channel audio signal, wherein M is greater than two but not greater than N;

recording said residual on one or more second media, wherein said first and second media are distinct physical media; and

recording said control information.

72. (Currently Amended) The method of claim 71, wherein said first ~~media is the~~ medium is an audio portion of a compact disk (CD), and ~~wherein said two channel representation can be reproduced~~ is adapted for reproduction on a conventional CD player.

73. (Currently Amended) The method of claim 119, wherein said recording of said control information is on said one or more second media, and wherein said one or more second media ~~is the~~ is a CD-ROM portion of said CD.

74. (Original) The method of either of claims 71 or 117, wherein M equals N.

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75. (Original) The method of claim 74, wherein said residual contains (N-2) independent channels.

76. (Original) The method of claim 74, wherein said residual contains less than (N-2) independent channels.

77. (Currently Amended) The method of either of claims 71 or 117, further comprising:
compressing said residual prior to its recording.

78. (Currently Amended) The method of claim 77, wherein said control information contains data on how said residual is compressed further includes data for determining a technique for compressing said residual.

79. (Original) The method of either of claims 71 or 117, wherein the deriving from said N-channel audio signal a two channel representation is based upon a linear combination of a finite set of spatial harmonics.

80. (Currently Amended) : A method for storing an N-channel audio signal, wherein N is an integer greater than two, comprising:

deriving from said N-channel audio signal a two channel representation based upon a linear combination of a finite set of spatial harmonics;

recording said two channel representation on a first medium;

forming additional information, comprising:

a residual dependent ~~upon the~~ upon a difference between said N-channel

audio signal and said two channel representation, wherein said residual

comprises a combination of zero and first order spatial harmonics

which is linearly independent of said two channel representation; and

control information, including data ~~that can be used to recombine~~ adapted for

use in recombining said residual with said two channel representation to

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reconstruct an M-channel representation of said N-channel audio signal,
wherein M is greater than two but not greater than N;
recording said residual on one or more second media; and
recording said control information.

81. (Currently Amended) The method of claim 71, wherein ~~the recording of~~ said first medium is a rewritable memory.

82. (Original) The method of claim 81, further comprising:
compressing said two channel representation prior to its recording.

(Claims 83-88 have been cancelled.)

89. (Currently Amended) A method of storing N-channel audio data ~~on a CD on a~~ compact disk (CD), wherein N is an integer greater than two, comprising:

storing a two track reduction of said N-channel audio data, wherein said two track reduction is reproducible by playing said CD on a conventional audio CD player; and

storing control information on said CD; and

storing additional audio data on said CD outside ~~of said of an~~ audio portion of said CD according to a distinct logical structure requiring a differing read process than the audio portion of the CD, wherein said two track reduction and said additional audio ~~information can be~~ data are adapted to be combined through use of said control information to reproduce an M-channel representation of said N-channel audio data, wherein M is greater than two but not greater than N.

90. (Currently Amended) The method of claim 89, wherein said additional audio ~~information~~ data is compressed.

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91. (Currently Amended) The method of claim 90, wherein the control information contains further includes data on how for determining a technique for compressing said additional audio data information is compressed.

92. (Original) The method of claim 89, wherein M is equal to N.

93. (Currently Amended) The method of claim 92, wherein said additional audio ~~information~~ data contains (N-2) independent channels.

94. (Currently Amended) The method of claim 92, wherein said additional audio ~~information~~ data contains less than (N-2) independent channels.

(Claims 95-106 have been cancelled.)

107. (Currently Amended) A method for storing an audio signal of two or more channels, comprising:

deriving data from the audio signal, said data comprising: data, comprising:
a plurality of digital signals, wherein a first digital signal of said plurality of digital signals is a first two track audio signal; and
control information, ~~wherein a reproduction of adapted for use in~~
reproducing said audio information signal can be produced from said
plurality of digital signals by use of said control information;
storing said first digital signal on a first medium;
storing the remainder of said plurality of digital signals on one or more second media, wherein the first and second media have distinct logical structures requiring differing read processes; and
storing the control information.

108. (Previously Presented) The method of either of claims 4 or 89, where said additional audio data is stored in a CD-ROM portion of said CD.

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109. (Previously Presented) The method of claim 108, where said additional audio data is stored in a file format.

110. (Previously Presented) The method of claim 109, where the file format employs the ISO9660 standard.

111. (Currently Amended) The method of claim 7, wherein said first digital signal is stored on the first medium in an MP3 format.

112. (Previously Presented) The method of any of claims 7, 8, 111, or 107, wherein said one or more second media include a compact disk.

113. (Previously Presented) The method of claim 10, wherein said one or more second media include a supplemental compact disk.

114. (Currently Amended) The method of any of claims 7, 8, 10, 111, or 107, wherein said one or more second media ~~include the~~ include a hard drive of a personal computer.

115. (Previously Presented) The method of claim 107, wherein said first medium is a rewritable memory.

116. (Currently Amended) The method of claim 107, wherein said first medium ~~is the~~ is an audio portion of a compact disk (CD), and wherein said first digital signal ~~can be reproduced~~ is adapted for reproduction on a conventional CD player.

117. (Currently Amended) A method for storing an N-channel audio signal, wherein N is an integer greater than two, comprising:

deriving from said N-channel audio signal a two channel representation;
recording said two channel representation on a first medium;

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Amendment
Response to Office Action of July 14, 2004

forming additional information, comprising:

a residual dependent ~~upon the~~ upon a difference between said N-channel audio signal and said two channel representation; and

control information, including data ~~that can be used to recombine~~ adapted for use in recombining said residual with said two channel representation to reconstruct an M-channel representation of said N-channel audio signal, wherein M is greater than two but not greater than N;

recording said residual on one or more second media, wherein the first and second media have distinct logical structures requiring differing read processes; and recording said control information.

118. (Previously Presented) The method of claim 117, wherein said first medium is a rewritable memory.

119. (Currently Amended) The method of claim 117, wherein said first medium ~~is the~~ is an audio portion of a compact disk (CD), and wherein said ~~first digital signal can be reproduced~~ two channel representation is adapted for reproduction on a conventional CD player.

120. (Currently Amended) The method of claim 71, wherein said ~~first digital signal~~ two channel representation on the first medium is in an MP3 format.

121. (Previously Presented) The method of any of claims 71, 81, 117, or 120, wherein said one or more second media include a compact disk.

122. (Previously Presented) The method of claim 72, wherein said one or more second media include a supplemental compact disk.

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123. (Currently Amended) The method of any of claims 71, 72, 81, 117, or 120, wherein said one or more second media ~~include the~~ include a hard drive of a personal computer.

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UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

PATENT NO. : 7,043,312
APPLICATION NO.: 09/505,556
DATED : May 9, 2006
INVENTOR(S) : Moorer

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specification:

First page, column 1, lines 1-3, after "AUGMENTATION" delete "FOR HIGHER RESOLUTION AND MULTICHANNEL SOUND".

In the CLAIMS:

Claim 4, column 23, line 18, after "digital" insert --signal--.

Claim 10, column 23, line 53, delete "media;" and insert --media,--.

Claim 16, column 24, line 22, delete "no" and insert --not--.

Claim 23, column 24, line 52, delete "claim" and insert --claims--.

Claim 26, column 25, line 2, after "second" insert --media, wherein the first and second media have distinct logical structures--.

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Claim 44, column 26, line 29, delete "claim" and insert --claims--.

Claim 45, column 26, line 34, delete "claim" and insert --claims--.

Claim 46, column 26, line 36, delete "claim" and insert --claims--.

NOV 6 2006

MAILING ADDRESS OF SENDER:
Mr. Thomas F. Lebens, Attorney at Law
Fitch, Even, Tabin & Flannery
Suite 1600, 120 South LaSalle Street
Chicago, IL 60603

PATENT NO: 7,043,312